



Pursuant to its obligations under the California Transparency in Supply Chain Act of 2010 (SB657), Catalent provides the following statement:

The California Transparency in Supply Chain Act of 2010 requires manufacturers that do business in California to disclose their efforts to eliminate human trafficking and slavery from their supply chains.

Catalent believes in the protection of human rights globally both in the workplace at our own facilities and within our supply chain. We do not condone slavery or human trafficking of any kind and are committed to reducing the risk with our suppliers. (see our Global Ethical Business Practices Policy, Prohibition Against Abuse of Child Labor Policy and Prohibition Against Human Trafficking Policy).

Code of Conduct

Catalent's Standards of Business Conduct provides our code of ethics and business conduct. It provides information about the standards of integrity that Catalent requires all employees, officers and its subsidiaries to follow. We expect that our consultants, agents, representatives, business partners and supplier will conform to the behaviors set forth in our Standards of Business Conduct.

Supplier Code of Conduct

Catalent's corporate values and culture statement include an emphasis on respect of others. Consistent with this, Catalent has zero tolerance for human trafficking. Catalent requires every supplier to acknowledge that they have been provided with a copy of Catalent's Supplier Code of Conduct, reviewed it in its entirety, and commit to comply with the principles of it. Included in our supplier code of conduct is the requirement that our suppliers behave in a lawful, ethical and socially responsible manner with respect to, among other things, human rights and acceptable working conditions. Catalent will not tolerate child labor law violations or activities that promote human trafficking or forced or involuntary labor.

While Catalent does not conduct formal audits of its supplier relationships, Catalent does engage in on-site visits of its primary supplier locations to review and discuss contract and quality performance, which incorporates the supplier code of conduct.

While Catalent does not require suppliers to certify that they and the materials that they incorporate into the products Catalent purchases from

them comply with all applicable laws, Catalent's supply contracts do contain certain provisions in which suppliers agree to comply with all laws related to their performance under those agreements.

Training

As indicated above, Catalent maintains worldwide Standards of Business Conduct. In creating those standards, Catalent considered many different standards relating to the ethical and humane treatment of people, including the International Labour Organization (ILO) international labor standards of the United Nations Universal Declaration of Human Rights. Catalent requires that its directors, officers and employees comply with the provisions of its Standards of Business Conduct. All Catalent employees are trained on its Standards of Business Conduct upon hire and periodically thereafter. Catalent provides information and training to its Global Procurement Team directly involved with the selection of new suppliers and the ongoing management of current suppliers. This training covers the assurance of ethical business practices by our suppliers.

Accountability Standards

Catalent has Standards of Business Conduct in place that govern the actions of our company and employees and holds them to the highest level of ethical and social responsibilities. Catalent's goal is to work with suppliers who embrace and comply with the principles of our Supplier Code of Conduct, and, in turn, to have these suppliers encourage compliance from any suppliers with whom they work in delivery of goods or services for Catalent.

Because Catalent believes so strongly in ethical behavior, individuals who do not comply with the provisions of Catalent's Standards of Business Conduct may be disciplined. Unless otherwise prohibited by law (U.S. or other), discipline may include dismissal. To the extent permitted by law and contractual provisions governing termination rights, we reserve the right to terminate relationships with third parties, including suppliers, who conduct business in a manner that conflicts with Catalent's Standards of Business Conduct or Supplier Code of Conduct.

